

CIRCULAR

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NSE

List of Securities moving to Stage II of Graded Surveillance Measure (GSM)  
List of Securities moving to Stage III of Graded Surveillance Measure (GSM)  
List of Securities moving to Stage IV of Graded Surveillance Measure (GSM)  
Applicability of Additional Surveillance Measure (ASM)  
Applicability of Short Term Additional Surveillance Measure (ST-ASM)  
Non Neat Systems - Empanelment of Vendors  
List of Securities moving to Stage II of Graded Surveillance Measure (GSM)  
List of Securities moving to Stage III of Graded Surveillance Measure (GSM)  
List of Securities moving to Stage IV of Graded Surveillance Measure (GSM)  
Applicability of Additional Surveillance Measure (ASM)  
Applicability of Short Term Additional Surveillance Measure (ST-ASM)  
Change of Market Maker for E2E NETWORKS LIMITED (E2E)  
SEBI Order in the matter of Dhanolty Developers Ltd.

BSE

Demat Auction - 013  
Applicability of Additional Surveillance Measure  
Graded Surveillance Measure (GSM) - List of securities moving into their respective GSM Stages  
CHANGE IN CIRCUIT FILTER  
Applicability of Short Term Additional Surveillance Measure (ST-ASM)  
Applicability of Event based Additional Surveillance Margin (E-ASM)  
Clarifications received from the companies  
Submission of Internal Audit Report for half year ended March 31, 2020  
Winding up of Franklin Templeton Mutual funds schemes on BSE STAR MF Platform  
Demat Auction - 014  
Applicability of Event based Additional Surveillance Margin (E-ASM)  
Enhanced supervision: Monitoring of Clients' Funds lying with the Stock Broker for the week ended  
April 24, 2020  
Weekly Submission of Holding Statement  
CHANGE IN CIRCUIT FILTER

Graded Surveillance Measure (GSM) – List of securities moving into their respective GSM Stages  
Applicability of Short Term Additional Surveillance Measure (ST-ASM)  
Order in the matter of Dhanolty Developers Ltd.

## MCX

Event Based Additional Surveillance Margin (E-ASM)–Castor Seed  
Delivery settlement of the contracts expiring on and after April 30 2020  
Relaxation in Compliance Requirements – COVID19

## NCDEX

Relaxation in timelines for compliance with regulatory requirements trading members / clearing members.  
Internal Audit of Clearing Members of NCCL  
Applicability of Event based Additional Surveillance Margin (E-ASM)  
Applicability of Event based Additional Surveillance Margin (E-ASM)  
Extension of Tick by Tick Trading Market Data for Derivatives segment  
Lean Period in Agriculture Commodities

## ICEX

DISCONTINUE OF LIQUIDITY ENHANCEMENT SCHEME (LES) IN STEEL LONG CONTRACTS  
EVENT BASED ADDITIONAL SURVEILLANCE MARGIN (E-ASM)  
MCCIL\_ LEAN PERIOD IN AGRICULTURAL COMMODITIES  
EVENT BASED ADDITIONAL SURVEILLANCE MARGIN (E-ASM)  
POSTPONEMENT OF EXPIRY OF CONTRACTS SCHEDULED TO EXPIRE ON MAY 05 2020

## NSDL

2020-0052–Policy–SEBI circular on relaxation in time period for certain activities carried out by DPs & other SEBI Registered Market Intermediaries  
2020-0052–Policy–SEBI circular dated April 16, 2020

## INDIA INX

### Clarification on Know Your Client (KYC) Process and Use of Technology for KYC.

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